

A calculus of characteristic classes in Witt cohomology

Conference on Algebraic Geometry and Number Theory on the occasion of Jean-Louis Colliot-Thélène's 70th birthday

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Refined enumerative geometry

Classical enumerative geometry

Enumerative geometry involves

1. Intersection theory on X via the Chow ring $\mathrm{CH}^*(X)$.
2. Degrees, via the pushforward

$$\mathrm{deg}_k = \pi_{X*} : \mathrm{CH}^{\dim X}(X) \rightarrow \mathrm{CH}^0(\mathrm{Spec} k) = \mathbb{Z}$$

for $\pi_X : X \rightarrow \mathrm{Spec} k$ smooth and proper over k .

3. Characteristic classes of an algebraic vector bundles $V \rightarrow X$, for instance the Chern class $c_n(V) \in \mathrm{CH}^n(X)$.

We want to describe a “quadratic refinement” of this package.

Refined enumerative geometry

Quadratic forms

We have the *Grothendieck-Witt ring* of a field F (of characteristic $\neq 2$),

$$\mathrm{GW}(F) = (\{\text{non-degenerate quadratic forms}\} / \text{isom}, \perp)^+,$$

the hyperbolic form $H(x, y) = xy \sim x^2 - y^2$, and the *Witt ring*

$$W(F) = \mathrm{GW}(F) / ([H]) = \mathrm{GW}(F) / \mathbb{Z} \cdot [H].$$

$\mathrm{GW}(F)$ is additively generated by the one-dimensional forms $\langle u \rangle$, $u \in F^\times$, $\langle u \rangle(x) = ux^2$.

There is the rank homomorphism $\mathrm{rnk} : \mathrm{GW}(F) \rightarrow \mathbb{Z}$ and for $F = \mathbb{R}$, the signature $\mathrm{sig} : \mathrm{GW}(\mathbb{R}) \rightarrow \mathbb{Z}$.

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Milnor K -theory/Milnor-Witt K -theory

For X smooth over k , the Milnor K -theory sheaf \mathcal{K}_*^M has the quadratic refinement \mathcal{K}_*^{MW} , the *Milnor-Witt* sheaf, and a twisted version $\mathcal{K}_*^{MW}(L)$ for $L \rightarrow X$ a line bundle. There is an element $\eta \in K_{-1}^{MW}(k)$ with

$$\mathcal{K}_*^{MW}(L)/(\eta) = \mathcal{K}_*^M.$$

There are isomorphisms

$$\mathcal{K}_0^{MW} \cong \mathcal{GW}, \quad \mathcal{K}_{-n}^{MW} \cong \mathcal{W}, \quad n > 0,$$

with \mathcal{W} the sheaf of Witt groups $\mathcal{GW}/(H)$. For $n \geq 0$, there is an exact sequence

$$0 \rightarrow \mathcal{J}^{n+1} \rightarrow \mathcal{K}_n^{MW} \rightarrow \mathcal{K}_n^M \rightarrow 0$$

$$\mathcal{J} = \ker[\text{rnk} : \mathcal{GW} \rightarrow \mathbb{Z}].$$

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Milnor-Witt K -theory and the Chow-Witt ring

The global sections of the Gersten resolution for \mathcal{K}_n^M computes $H^n(X, \mathcal{K}_n^M)$ as the cohomology in

$$\cdots \xrightarrow{\partial} \bigoplus_{x \in X^{(n-1)}} K_1^M(k(x)) = k(x)^\times \xrightarrow{\partial} \bigoplus_{x \in X^{(n)}} K_0^M(k(x)) = \mathbb{Z}$$

giving Kato's isomorphism

$$\mathrm{CH}^n(X) = H^n(X, \mathcal{K}_n^M).$$

This refines to define the *Chow-Witt groups* (Barge-Morel, Fasel)

$$\tilde{\mathrm{C}}\mathrm{H}^n(X; L) := H^n(X, \mathcal{K}_n^{MW}(L)).$$

For $x \in X^{(n)}$, twisting by the local orientation line bundle $or_x := \Lambda^n \mathfrak{m}_x / \mathfrak{m}_x^2$ refines the Gersten resolution for \mathcal{K}_n^M to the “Rost-Schmidt” resolution of $\mathcal{K}_n^{MW}(L)$.

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The Chow-Witt ring

Taking global sections in the Rost-Schmidt resolution describes $\tilde{C}\tilde{H}^n(X; L) = H^n(X, \mathcal{K}_n^{MW}(L))$ as the cohomology in

$$\begin{aligned} \cdots \xrightarrow{\partial} \bigoplus_{x \in X^{(n-1)}} K_1^{MW}(L \otimes \mathcal{O}_{x, X})(k(x)) \\ \xrightarrow{\partial} \bigoplus_{x \in X^{(n)}} K_0^{MW}(L \otimes \mathcal{O}_{x, X})(k(x)) \\ \xrightarrow{\partial} \bigoplus_{x \in X^{(n+1)}} K_{-1}^{MW}(L \otimes \mathcal{O}_{x, X})(k(x)) \xrightarrow{\partial} \cdots \end{aligned}$$

Via isomorphisms

$$K_0^{MW}(L \otimes \mathcal{O}_{x, X})(k(x)) \cong \mathrm{GW}(L \otimes \mathcal{O}_{x, X})(k(x)) \cong \mathrm{GW}(k(x)),$$

this represents $\mathcal{Z} \in \tilde{C}\tilde{H}^n(X; L)$ as

$$\mathcal{Z} = \sum_i \alpha_i \cdot Z_i$$

with the $Z_i \subset X$ codimension n subvarieties and $\alpha_i \in \mathrm{GW}(k(Z_i))$.

Refined enumerative geometry

Refined degree

For $f : Y \rightarrow X$ proper, X, Y smooth/ k , there is a pushforward

$$f_* : H^m(Y, \mathcal{K}_n^{MW}(f^*L \otimes \omega_{Y/X})) \rightarrow H^{m-d}(X, \mathcal{K}_{n-d}^{MW}(L))$$

$d =$ relative dimension of f , $\omega_{Y/X} := \omega_{Y/k} \otimes f^*\omega_{X/k}^{-1}$.

This gives the quadratic degree map

$$\tilde{\text{deg}}_k = \pi_{X*} : \tilde{\text{CH}}^d(X, \omega_{X/k}) \rightarrow \tilde{\text{CH}}^0(\text{Spec } k) = \text{GW}(k)$$

for X smooth and proper of dimension d over k .

This refines the integral degree map via the canonical map

$$\mathcal{K}_*^{MW}(L) \rightarrow \mathcal{K}_*^{MW}(L)/(\eta) = \mathcal{K}_*^M.$$

Refined enumerative geometry

Euler class

For $V \rightarrow X$ a rank n vector bundle, we have the *Euler class*

$$e(V) := s^* s_*(1_X) \in \tilde{C}H^n(X, \det(V)^{-1})$$

$s =$ zero section, $1_X \in \tilde{C}H^0(X) = H^0(X, \mathcal{G}\mathcal{W})$ the unit section.

The Euler class refines the top Chern class, and $e(\det V)$ refines $c_1(V)$ but there are no classes refining the other Chern classes.

For this we pass to classes in \mathcal{W} -cohomology.

Refined enumerative geometry

Pontyagin classes

The map $\times \eta : \mathcal{K}_n^{MW} \rightarrow \mathcal{K}_{n-1}^{MW}$ is the surjection $\mathcal{GW} \rightarrow \mathcal{W}$ for $n = 0$ and an isomorphism for $n < 0$.

Inverting $\eta \in K_{-1}^{MW}(k)$ gives

$$\mathcal{K}_*^{MW}(L)[\eta^{-1}] \cong \mathcal{W}(L) = \mathcal{GW}(L)/(h)$$

A rank n vector bundle $V \rightarrow X$ has *Pontryagin classes*

$$p_i(V) \in H^{4i}(X, \mathcal{W}); 2 \leq 2i \leq n.$$

For $n = 2m$, we have

$$p_m(V) = e(V)^2$$

Refined enumerative geometry

Borel classes and Pontryagin classes

The Pontryagin classes are defined via the Borel classes (Panin-Walter).

Let $V \rightarrow X$ be a rank $2n + 2$ symplectic bundle over X with symplectic form ω . Let $H\mathbb{P}(V) \subset \text{Gr}(2, V)$ the open subscheme of 2-planes $E \subset V$ with $\omega|_E$ non-degenerate. $\mathcal{E} \rightarrow H\mathbb{P}(V)$ the tautological symplectic 2-plane bundle.

Set $\zeta := e(\mathcal{E}) \in H^2(H\mathbb{P}(V), \mathbb{W})$. Then

$$H^*(H\mathbb{P}(V), \mathbb{W}) = \bigoplus_{i=0}^n H^*(X, \mathbb{W}) \cdot \zeta^i.$$

We get *Borel classes* $b_1(V), b_2(V), \dots, b_i(V) \in H^{2i}(X, \mathbb{W})$, by the Grothendieck method.

For $V \rightarrow X$ a vector bundle, define $p_i(V) := b_{2i}(V \oplus V^\vee)$ in $H^{4i}(X, \mathbb{W})$.

Refined enumerative geometry

The quadratic enumerative package

Refining the classical enumerative package of the Chow ring, the degree map and Chern classes is the *quadratic enumerative package*:

1. (quadratic intersection theory) For X smooth over k , the twisted Chow-Witt groups $\tilde{C}H^*(X; L)$
2. (quadratic degree) For $\pi_X : X \rightarrow \text{Spec } k$ smooth and proper, the quadratic degree map

$$\tilde{\text{deg}}_k = \pi_{X*} : \tilde{C}H^{\dim X}(X; \omega_{X/k}) \rightarrow \tilde{C}H^0(\text{Spec } k) = \text{GW}(k).$$

3. (quadratic characteristic classes) For $V \rightarrow X$ a rank n vector bundle, the Euler class

$$e(V) \in \tilde{C}H^n(X, \det^{-1} V) = H^n(X, \mathcal{K}_n^{MW}(\det^{-1}(V)))$$

and the Pontryagin classes $p_i(V) \in H^{4i}(X, \mathcal{W})$.

Pontyagin classes and the Euler class

Witt cohomology of BSL_n

Ananyevskiy has computed $H^*(BSL_n, \mathcal{W})$.

Let $E_n \rightarrow BSL_n$ be the universal vector bundle. We have the Pontryagin classes $p_i(E_n) \in H^{4i}(BSL_n, \mathcal{W})$ and the Euler class $e(E_n) \in H^n(BSL_n, \mathcal{W})$ with $e^2 = p_m$ for $n = 2m$, $e = 0$ for n odd.

Theorem (Ananyevskiy)

1. For $n = 2m$

$$H^*(BSL_n, \mathcal{W}) \cong W(k)[p_1, \dots, p_{m-1}, e].$$

2. For $n = 2m + 1$

$$H^*(BSL_n, \mathcal{W}) \cong W(k)[p_1, \dots, p_m].$$

Pontyagin classes and the Euler class

Ananyevskiy's SL_2 -splitting principle

Let $i : (SL_2)^m \hookrightarrow SL_{2m}$ be the block-diagonal inclusion, and

$$e_j := \pi_j^* e(E_2) \in H^*(B(SL_2)^m, \mathcal{W}); \quad j = 1, \dots, m.$$

Theorem (SL_2 -splitting principle-Ananyevskiy)

i induces an injection

$$i^* : H^*(BSL_{2m}, \mathcal{W}) \hookrightarrow H^*(B(SL_2)^m, \mathcal{W}) = W(k)[e_1, \dots, e_m],$$

with

$$i^* e := \prod_{j=1}^m e_j, \quad i^* p_j = \sigma_j(e_1^2, \dots, e_m^2).$$

Question: What are the characteristic classes of $\text{Sym}^\ell E_2$?

Characteristic classes of symmetric powers

The answer

Theorem (Main)

Let $E_2 \rightarrow BSL_2$ be the tautological rank 2 bundle. Then

$$e(\mathrm{Sym}^\ell E_2) = \begin{cases} 0 & \text{for } \ell \geq 2 \text{ even.} \\ \ell \cdot (\ell - 2) \cdots 3 \cdot 1 \cdot e^m & \text{for } \ell = 2m - 1 \geq 1 \text{ odd.} \end{cases}$$

The total Pontryagin class $p(\mathrm{Sym}^\ell E_2) := 1 + \sum_{i \geq 1} p_i(\mathrm{Sym}^\ell E_2)$ is

$$p(\mathrm{Sym}^\ell E_2) = \prod_{j=0}^{\lfloor \ell/2 \rfloor} (1 + (\ell - 2j)^2 e^2).$$

Characteristic classes of symmetric powers

Application

We consider the problem of counting the (finite number of) lines on a smooth hypersurface $X \subset \mathbb{P}^{d+1}$ of degree $2d - 1$. The “answer” is given by

$$\tilde{\text{deg}}_k(e(\text{Sym}^{2d-1}(E_2))) \in \text{GW}(k)$$

where $E_2 \rightarrow \text{Gr}(2, d+2)$ is the tautological rank 2 quotient bundle of \mathcal{O}^{d+2} . The classical computation in the Chow ring gives the integer count N_d

$$N_d := \text{deg}_k(c_{2d}(\text{Sym}^{2d-1}E_2)) \in \mathbb{Z}$$

Characteristic classes of symmetric powers

Application

By our theorem, we have

$$e(\mathrm{Sym}^{2d-1}(E_2)) = (2d-1)!! e(E_2)^d \in H^d(\mathrm{Gr}(2, d+2), \mathcal{W}(O(-d))),$$

so

$$\pi_*^W(e(\mathrm{Sym}^{2d-1}(E_2))) = (2d-1)!! \in W(k).$$

Thus $\pi_*(e(\mathrm{Sym}^{2d-1}(E_2))) \in \mathrm{GW}(k)$ is given by

$$\pi_*(e(\mathrm{Sym}^{2d-1}(E_2))) = (2d-1)!! \langle 1 \rangle + \frac{N_d - (2d-1)!!}{2} \cdot H$$

Characteristic classes of symmetric powers

Application

More explicitly,

$$N_d = \deg \left[\prod_{j=0}^{d-1} j \cdot (2d - j - 1)c_1^2 + (2(d - j) - 1)^2 c_2 \right]$$

and

$$\deg(c_1^{2(d-a)} c_2^a) = \frac{2(d-a)!}{(d-a+1)!(d-a)!}$$

The $W(k)$ -part gives a lower bound $(2d-1)!!$ for the number of real lines (counted with a positive multiplicity) and a mod 2 congruence for “types” of lines over a finite field.

This recovers and extends work of (Kass-Wickelgren) who discuss the case of lines on a cubic surface, using a different method.

Characteristic classes of symmetric powers

The proof of the main theorem-Comparison with the real case

In the case of a topological real $SL_2(\mathbb{R})$ -bundle, one uses the homotopy equivalence

$$S^1 = SO(2) \hookrightarrow SL_2(\mathbb{R})$$

and the \mathbb{C} -structure on a real S^1 -bundle to reduce to complex line bundles:

$$\begin{aligned} BSL_2(\mathbb{R}) &\sim BSO(2) = BS^1 \cong \mathbb{C}P^\infty \\ E_2 &\leftrightarrow O(1); \quad e(E_2) \leftrightarrow c_1(O(1)) \in H^2(\mathbb{C}P^\infty, \mathbb{Z}). \end{aligned}$$

As $SO(2)$ -bundle $\text{Sym}^\ell E_2$ splits

$$\text{Sym}^\ell E_2 \cong \bigoplus_{j=0}^{[\ell/2]} O(\ell - 2j)$$

which explains the formula in the theorem.

Characteristic classes of symmetric powers

The proof of the main theorem-The normalizer as the algebraic circle group

We replace $S^1 \subset \mathrm{SL}_2(\mathbb{R})$ with the normalizer N_T of the standard torus $T \subset \mathrm{SL}_2$.

$$N_T = \langle \left\{ \begin{pmatrix} t & 0 \\ 0 & t^{-1} \end{pmatrix} \right\}, \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \rangle \subset \mathrm{SL}_2.$$

N_T has (roughly) the same algebraic representation theory as the real representation theory of S^1 : every irreducible representation is either 1- or 2-dimensional. We concentrate on the 2-dimensional representations $\rho_\ell : N_T \rightarrow \mathrm{GL}_2$, $\ell \geq 1$,

$$\rho_\ell \left(\begin{pmatrix} t & 0 \\ 0 & t^{-1} \end{pmatrix} \right) = \begin{pmatrix} t^\ell & 0 \\ 0 & t^{-\ell} \end{pmatrix}; \quad \rho_\ell \left(\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \right) = \begin{pmatrix} 0 & 1 \\ (-1)^\ell & 0 \end{pmatrix}.$$

Characteristic classes of symmetric powers

The proof of the main theorem-The normalizer as the algebraic circle group

For $k = 0$, there are two 1-dimensional representations ρ_0^\pm .

$$\rho_0^\pm\left(\begin{pmatrix} t & 0 \\ 0 & t^{-1} \end{pmatrix}\right) = \text{id}$$

$$\rho_0^\pm\left(\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}\right) = \pm \text{id}.$$

Let $\pi : BN_T \rightarrow BSL_2$ be the canonical map, $E(\rho) \rightarrow BN_T$ the bundle associated to a representation $\rho : N_T \rightarrow GL_n$. Then $\pi^* E_2 = E(\rho_1)$ and

$$\pi^* \text{Sym}^\ell E_2 = \begin{cases} \bigoplus_{j=0}^{\lfloor \frac{\ell}{2} \rfloor} E(\rho_{\ell-2j}) & \ell \text{ odd} \\ \bigoplus_{j=0}^{\frac{\ell}{2}-1} E(\rho_{\ell-2j}) \oplus \rho_0^+ & \ell \equiv 0 \pmod{4} \\ \bigoplus_{j=0}^{\frac{\ell}{2}-1} E(\rho_{\ell-2j}) \oplus \rho_0^- & \ell \equiv 2 \pmod{4} \end{cases}$$

Characteristic classes of symmetric powers

The proof of the main theorem-The N_T -splitting principle

The main theorem reduces to

Theorem (N_T -splitting principle)

1. $\pi^* : H^*(BSL_2, \mathcal{W}) \rightarrow H^*(BN_T, \mathcal{W})$ is a split injection.
2. $e(E(\rho_\ell)) = \ell \cdot e(E(\rho_1))$ for $\ell \geq 1$.
3. $e(E(\rho_0^\pm)) = 0$.

(3) is just the vanishing of $e(V)$ in $H^*(-, \mathcal{W}(\det^{-1}V))$ for odd rank V .

Characteristic classes of symmetric powers

The N_T -splitting principle-Sketch of proof

1. BN_T is a (Zariski locally trivial) $N_T \backslash \mathrm{SL}_2$ -bundle over $B\mathrm{SL}_2$ and

$$N_T \backslash \mathrm{SL}_2 \cong [\mathbb{P}^1 \times \mathbb{P}^1 \setminus \Delta] / \mathbb{Z}/2 \cong \mathbb{P}^2 \setminus C$$

where $C \subset \mathbb{P}^2$ is the conic $q := T_1^2 - 4T_0T_2 = 0$ ($\mathrm{char} k \neq 2$).

The double cover $\mathbb{P}^1 \times \mathbb{P}^1 \rightarrow \mathbb{P}^2 = \mathrm{Sym}^2 \mathbb{P}^1$ is $\mathrm{Spec} \mathcal{O}_{\mathbb{P}^2}(\sqrt{q})$.

2. q defines an SL_2 -invariant section of \mathcal{W} over $\mathbb{P}^2 \setminus C$. The Leray spectral sequence gives

$$H^n(BN_T, \mathcal{W}) = \begin{cases} H^n(B\mathrm{SL}_2, \mathcal{W}) & \text{for } n > 0 \\ W(k) \oplus [q]W(k) = \\ H^0(B\mathrm{SL}_2, \mathcal{W}) \oplus [q]H^0(B\mathrm{SL}_2, \mathcal{W}) & \text{for } n = 0 \end{cases}$$

Characteristic classes of symmetric powers

The N_T -splitting principle-Sketch of proof

3. The map $(x, y) \mapsto (x^\ell, y^\ell)$ gives a fiberwise polynomial map of bundles $m_\ell : E(\rho_1) \rightarrow E(\rho_\ell)$, and reduces the theorem to showing

$$m_\ell^*(s_*^\ell(1_{BN_T})) = \ell \cdot s_*^1(1_{BN_T})$$

in $H^2(E(\rho_1), \mathcal{W}) \cong H^2(BN_T, \mathcal{W})$.

4. We have a Thom isomorphism

$$W(k) \oplus [q]W(k) = H^0(BN_T, \mathcal{W}) \cong H_{0_{BN_T}}^2(E(\rho_1), \mathcal{W})$$

and a surjection

$$p : H_{0_{BN_T}}^2(E(\rho_1), \mathcal{W}) \rightarrow H^2(E(\rho_1), \mathcal{W})$$

Characteristic classes of symmetric powers

The N_T -splitting principle-Sketch of proof

5. One computes the kernel of this surjection as

$$\ker p = W(k)(1, [q]).$$

This is the same as the kernel of the evaluation map

$$ev_x : H^0(BN_T, \mathcal{W}) \rightarrow W(k)$$

where $x = (1 : 0 : 1) \in \mathbb{P}^2 \setminus C \subset BN_T(\text{Spec } k)$, because

$$\langle q(x) \rangle = \langle -4 \rangle = -1 \text{ in } W(k).$$

Note: x comes from $((1 : i), (1 : -i)) \in \mathbb{P}^1 \times \mathbb{P}^1 \setminus \Delta$.

Characteristic classes of symmetric powers

The N_T -splitting principle-Sketch of proof

6. This gives: $e(E(\rho_\ell)) = \lambda_\ell \cdot e(E(\rho_1))$ with

$\lambda_\ell =$ the “local degree” at 0 of $m_{\ell,x} : E(\rho_1)_x \rightarrow E(\rho_\ell)_x$,
= the “degree” (in $\text{End}_{\text{SH}(k)}(\Sigma_T^\infty \mathbb{P}^1)[\eta^{-1}] = W(k)$) of

$$m_{\ell,x} : \mathbb{P}_k^1 = \mathbf{Proj}(E(\rho_1)_x) \rightarrow \mathbf{Proj}(E(\rho_\ell)_x) = \mathbb{P}_k^1.$$

The map $m_{\ell,x}$ is

$$m_{\ell,x}(x : y) = (Re^{(\ell)}(x, y) : Im^{(\ell)}(x, y))$$

where

$$(x + iy)^\ell = Re^{(\ell)}(x, y) + i \cdot Im^{(\ell)}(x, y).$$

It suffices to make the computation for $\ell = p$ a prime.

Characteristic classes of symmetric powers

The N_T -splitting principle-Sketch of proof

7. By Morel's "motivic Brouwer degree formula",

$$\lambda_p = \mathrm{Tr}_{k(m_{p,x}^{-1}(y))/k(y)}(\langle \partial m_{p,x} / \partial t \rangle)$$

for $y \in \mathbb{P}^1(k)$ a regular value of k , t a "normalized parameter" at y .

For $y = (0 : 1)$ the cover is

$$(0 : 1) \amalg \mathrm{Spec} \mathbb{Q}[\zeta_{4p} + \zeta_{4p}^{-1}] \rightarrow (0 : 1) = \mathrm{Spec} k$$

and an explicit calculation (via a theorem of Serre, with help from Eva Bayer) gives the trace form as

$$\lambda_p = \left[\sum_{i=1}^p x_i^2 \right] = p \cdot \langle 1 \rangle = p \in W(k).$$