

# Algebraic Cobordism

## Riemann-Roch and applications

Motives and Periods  
Vancouver-June 5-12, 2006

Marc Levine

## Outline

- Twisting a theory
- Panin's Riemann-Roch theorem
- Operations in cobordism
- Degree formulas
- Applications

## Todd classes of vector bundles

Given:  $A^*$ : an O.C.T. on  $\mathbf{Sm}/k$

$\tau_i \in A^{-i}(k)$ ,  $i = 0, 1, \dots$ ;  $\tau_0 = 1$ .

Let  $\sigma_i(\xi) :=$  the  $i$ th elementary symmetric function in  $\xi_1, \xi_2, \dots$

Let  $f_\tau(t) = \sum_{i=0}^{\infty} \tau_i t^i$  and

$$F_\tau(\xi_1, \xi_2, \dots) := \prod_{i=1}^{\infty} f_\tau(\xi_i).$$

Then

$$F_\tau(\xi_1, \xi_2, \dots) = \text{td}_\tau^{-1}(\sigma_1(\xi), \sigma_2(\xi), \dots)$$

for a unique  $\text{td}_\tau^{-1} \in A^*(k)[\sigma_1, \sigma_2, \dots]$ .

**Definition** Let  $E \rightarrow X$  be a vector bundle. Set

$$\text{Td}_\tau^{-1}(E) := \text{td}_\tau^{-1}(c_1(E), c_2(E), \dots)$$

$f_\tau(t) = \sum_i \tau_i t^i$  is the *Todd genus*.

*Note.* This also works if we only assume  $\tau_0 \in A^0(k)$  is a unit.

## Properties:

- For  $L \rightarrow X$  a line bundle:  $\mathrm{Td}^{-1}(L) = \sum_{i=0}^{\infty} \tau_i c_1(L)^i$ .
- $\mathrm{Td}_{\tau}^{-1}(-)$  is **functorial**:  $f^* \mathrm{Td}_{\tau}^{-1}(E) = \mathrm{Td}_{\tau}^{-1}(f^* E)$ .
- $\mathrm{Td}_{\tau}^{-1}(-)$  is **multiplicative**:  $\mathrm{Td}_{\tau}^{-1}(E) = \mathrm{Td}_{\tau}^{-1}(E') \mathrm{Td}_{\tau}^{-1}(E'')$  for each exact sequence

$$0 \rightarrow E' \rightarrow E \rightarrow E'' \rightarrow 0$$

- $E \mapsto \mathrm{Td}_{\tau}^{-1}(E)$  descends to a group homomorphism

$$\mathrm{Td}_{\tau}^{-1} : K_0(X) \rightarrow A^0(X)^{\times}$$

## Twisting a theory

For  $f : Y \rightarrow X$  in  $\mathbf{Sm}/k$ , set

$$N_f := [f^*T_X] - [T_Y] \in K_0(Y).$$

Define:

$$A_\tau^*(X) := A^*(X)$$

$$f_\tau^* := f^*$$

For  $f : Y \rightarrow X$  projective,  $d = \text{codim } f$ , define

$f_*^\tau : A^*(Y) \rightarrow A^{*+d}(X)$  by

$$f_*^\tau(y) := f_*(y \cdot \text{Td}_\tau^{-1}(N_f)).$$

**Proposition** (1)  $X \mapsto A_\tau^*(X)$  defines an O.C.T. on  $\mathbf{Sm}/k$ .

(2) Let  $\lambda_\tau(t) = \sum_{i=0}^{\infty} \tau_i t^{i+1}$ . For  $p : L \rightarrow X$  a line bundle,

$$c_1^\tau(L) = \lambda_\tau(c_1(L)) = c_1(L) \cdot \mathrm{Td}_\tau^{-1}(L).$$

(3)  $A_\tau^*$  has formal group law

$$F_A^\tau(u, v) = \lambda_\tau(F_A(\lambda_\tau^{-1}(u), \lambda_\tau^{-1}(v))).$$

*Proof:* The functoriality of  $f_*$  follows from the identity

$$N_{fg} = g^* N_f + N_g$$

in  $K_0$ , and the multiplicativity of  $\mathbb{T}d_\tau^{-1}$ .

The formula for  $c_1^\tau(L)$  follows from the definition:

$$\begin{aligned} c_1^\tau(L) &:= s_\tau^*(s_*^\tau(1)) \\ &= s^*(s_*(1 \cdot \mathbb{T}d_\tau^{-1}(L))) = s^*[s_*(1 \cdot s^* p^* \mathbb{T}d_\tau^{-1}(L))] \\ &= s^*(p^* \mathbb{T}d_\tau^{-1}(L) \cdot s_*(1)) = \mathbb{T}d_\tau^{-1}(L) \cdot s^*(s_*(1)) \\ &= \mathbb{T}d_\tau^{-1}(L) \cdot c_1(L) = \lambda_\tau(c_1(L)). \end{aligned}$$

(PB) for  $A_\tau^*$  follows from (PB) for  $A^*$  and the fact that  $\mathrm{Td}_\tau^{-1}(L)$  is a unit.

The formal group law follows from the formula for  $c_1^\tau(L)$ :

$$F_A^\tau(c_1^\tau(L), c_1^\tau(M)) = c_1^\tau(L \otimes M) \implies$$

$$\begin{aligned} F_A^\tau(\lambda_\tau(c_1(L)), \lambda_\tau(c_1(M))) &= \lambda_\tau(c_1(L \otimes M)) \\ &= \lambda_\tau(F_A(c_1(L), c_1(M))). \end{aligned}$$

## Panin's Riemann-Roch theorem

$A^*, B^*$ : O.C.T. on  $\mathbf{Sm}/k$

$\phi : A^* \rightarrow B^*$  a natural transformation of underlying cohomology theories:

$$\phi(x \cdot_A y) = \phi(x) \cdot_B \phi(y)$$

$$\phi(f_A^*(x)) = f_B^*(\phi(x)).$$

By (PB) there is a unique power series  $\mathrm{td}_\phi^{-1}(t) = \sum_{i=0}^{\infty} \tau_i t^i$  such that

$$\phi(c_1^A(L)) = \mathrm{td}_\phi^{-1}(c_1^B(L)) \cdot c_1^B(L).$$

**Theorem (Panin)** *Suppose that  $\tau_0$  is a unit. Then  $\phi$  defines a natural transformation of O.C.T.*

$$\phi : A^* \rightarrow B_\tau^*.$$

## Explicit R-R

In concrete terms: Let  $\text{td}_\tau(t) = 1/\text{td}_\tau^{-1}(t)$ . Define  $\mathbb{T}d_\tau(E)$  using  $\text{td}_\tau(t)$  instead of  $\text{td}_\tau^{-1}(t)$ .

Let  $f : Y \rightarrow X$  be a projective morphism. Then

$$\begin{aligned}\mathbb{T}d_\tau^{-1}(N_f) &= \mathbb{T}d_\tau^{-1}([f^*T_X] - [T_Y]) \\ &= \mathbb{T}d_\tau(T_Y)(f^*(\mathbb{T}d_\tau(T_X)))^{-1}.\end{aligned}$$

Thus

$$\phi(f_*^A(x)) = f_*^{B^T}(\phi(x)) = f_*^B(\phi(x) \cdot \mathbb{T}d^{-1}(N_f))$$

so we recover the “classical” R-R theorem:

$$\phi(f_*^A(x)) \cdot \mathbb{T}d_\tau(T_X) = f_*^B(\phi(x) \cdot \mathbb{T}d_\tau(T_Y)).$$

## Grothendieck-R-R

We take the original example: Let  $ch : K_0(X) \rightarrow CH^*(X)_{\mathbb{Q}}$  be the Chern character.

$ch$  is characterized (by the splitting principle) as the unique additive homomorphism with

$$ch([L]) = e^{c_1^{CH}(L)}.$$

CH has the additive group law  $\implies ch$  is a ring homomorphism.

Modify  $ch$  to the natural transformation of cohomology theories

$$ch_{\beta} : K_0[\beta, \beta^{-1}] \rightarrow CH_{\mathbb{Q}}^*[\beta, \beta^{-1}]$$

by  $ch_{\beta}([L]\beta^n) = e^{\beta c_1^{CH}(L)}\beta^n.$

What is  $\mathrm{td}_{ch}^{-1}(t)$ ?

$c_1^K(L) = (1 - L^{-1})\beta^{-1}$ , so

$$\begin{aligned} \mathrm{ch}_\beta(c_1^K(L)) &= \beta^{-1}[\mathrm{ch}_\beta(1) - \mathrm{ch}_\beta(L^{-1})] \\ &= \beta^{-1}[1 - e^{-\beta c_1^{\mathrm{CH}}(L)}]. \end{aligned}$$

Thus

$$\mathrm{td}_{ch}^{-1}(t) = \frac{1 - e^{-\beta t}}{\beta t}.$$

Restricting to degree 0 and sending  $\beta$  to 1, we recover the usual Chern character, Todd class and the Grothendieck-Riemann-Roch theorem.

**Why ch?** We can also explain where the Chern character comes from:

$K_0[\beta, \beta^{-1}]$  is the universal multiplicative theory (algebraic Conner-Floyd theorem).

$\text{CH}^*$  is an additive theory: use the exponential function to twist the group law for  $\text{CH}$  to be multiplicative. Explicitly, twist the group law in  $\text{CH}^*[\beta, \beta^{-1}]$  by

$$\lambda_\tau(t) := t \cdot \text{td}_{ch}^{-1}(t) = 1 - e^{-\beta t}.$$

The universal property of  $K_0[\beta, \beta^{-1}]$  gives a unique map

$$ch_\beta : K_0[\beta, \beta^{-1}] \rightarrow \text{CH}^*[\beta, \beta^{-1}]$$

The formula for  $c_1^{\text{CH}^\tau}(L)$  yields

$$ch(L) = e^{c_1^{\text{CH}}(L)}$$

so we recover the Chern character.

# Operations

## Landweber-Novikov classes

These are the coefficients of the universal inverse Todd class:

Take variables  $t_1, t_2, \dots$  with  $\deg t_i := -i$  and extend  $\Omega^*$  to  $\Omega^*[t_1, t_2, \dots] := \Omega^*[\mathbf{t}]$ .

Let  $f_{\mathbf{t}}(t) := \sum_i t_i t^i$  ( $t_0 = 1$ ) be the universal inverse Todd genus.

For  $E \rightarrow X$  a vector bundle, write

$$\mathrm{Td}_{\mathbf{t}}^{-1}(E) = \sum_J c_J(E) t^J; \quad c_J \in \Omega^{|J|}(X).$$

Since  $\mathrm{Td}_{\mathbf{t}}^{-1}$  is multiplicative, sending  $E$  to  $c_J(E)$  descends to a natural map

$$c_J : K_0(X) \rightarrow \Omega^{|J|}(X),$$

the  $J$ th *Landweber-Novikov class*.

## Examples

(1)  $c_n(E) = c_{n,0,0,\dots}(E)$ .

(2) The Newton class  $S_n(E) := c_{0,\dots,0,1}(E)$  ( $n - 1$  0's). For  $L$  a line bundle

$$S_n(L) = c_1(L)^n.$$

$S_n$  is additive:  $S_n(E \oplus E') = S_n(E) + S_n(E')$ .

## Landweber-Novikov operations

We use the twisting construction to promote the classes  $c_J$  to operations on  $\Omega^*$ .

Let  $\Omega^*[t]^{(t)}$  be the twist of  $\Omega^*[t]$  by the universal Todd genus.

The universality of  $\Omega^*$  gives a unique transformation

$$\nu_{LN} : \Omega^* \rightarrow \Omega^*[t]^{(t)}.$$

For  $x \in \Omega^n(X)$ , write

$$\nu_{LN}(x) = \sum_J S_J^{LN}(x)t^J; \quad S_J^{LN}(x) \in \Omega^{n+|J|}(X).$$

The transformation

$$S_J^{LN} : \Omega^* \rightarrow \Omega^{*+|J|}$$

is the  $J$ th *Landweber-Novikov operation*.

The definition of pushforward in the twisted theory gives the formula for  $s_J^{LN}$ :

For  $f : Y \rightarrow X \in \mathcal{M}(X)$ ,

$$S_J^{LN}(f) = f_*(c_J(N_f)).$$

**Proposition** Sending  $f : Y \rightarrow X \in \mathcal{M}^*(X)$  to  $f_*(c_J(N_f)) \in \Omega^{*+|J|}(X)$  descends to a natural homomorphism

$$S_J^{LN} : \Omega^*(X) \rightarrow \Omega^{*+|J|}(X).$$

**Note.** Let  $c_J^{CF}(E) := \vartheta_{\text{CH}}(c_J(E)) \in \text{CH}^{|J|}(X)$ . The classes  $c_J^{CF}(E)$  are the *Conner-Floyd Chern classes* of  $E$ .

Ex.:  $c_{(n)}(E) = c_n(E)$ , the usual  $n$ th Chern class.

## Brosnan/Voevodsky Steenrod operations

Fix a prime  $p$ . Let  $b_n := t_{p^n-1}$  ( $\deg b_n = p^n - 1$ ).

Extend  $\mathrm{CH}^*/p$  to  $\mathrm{CH}^*/p[\mathbf{b}] := \mathrm{CH}/p[b_1, b_2, \dots]$ .

Form the universal mod  $p$  genus

$$f_{\mathbf{b}}^{(p)}(t) := \sum_n b_n t^{p^n-1} \in \mathrm{CH}^*/p(k)[\mathbf{b}][t] = \mathbb{F}_p[\mathbf{b}][t].$$

Let  $\mathrm{CH}^*/p[\mathbf{b}]^{(\mathbf{b})}$  be the twisted theory and

$$\nu^{(p)} : \Omega^* \rightarrow \mathrm{CH}^*/p[\mathbf{b}]^{(\mathbf{b})}$$

the canonical map.

**Lemma** *The formal group law of  $\mathrm{CH}^*/p[\mathbf{b}]^{(\mathbf{b})}$  is the additive group.*

*Proof.*

$$\begin{aligned}c_1^{(\mathbf{b})}(L) &= c_1^{\mathrm{CH}/p}(L) \cdot f^{(p)}(c_1^{\mathrm{CH}/p}(L)) \\ &= \sum_n c_1^{\mathrm{CH}/p}(L)^{p^n} b_n.\end{aligned}$$

So

$$\begin{aligned}c_1^{(\mathbf{b})}(L \otimes M) &= \sum_n c_1^{\mathrm{CH}/p}(L \otimes M)^{p^n} b_n \\ &= \sum_n (c_1^{\mathrm{CH}/p}(L) + c_1^{\mathrm{CH}/p}(M))^{p^n} b_n \\ &= \sum_n (c_1^{\mathrm{CH}/p}(L)^{p^n} + c_1^{\mathrm{CH}/p}(M)^{p^n}) b_n \\ &= c_1^{(\mathbf{b})}(L) + c_1^{(\mathbf{b})}(M).\end{aligned}$$

Since  $\mathrm{CH}^* = \Omega_+^*$ ,  $\nu^{(p)} : \Omega^* \rightarrow \mathrm{CH}^*/p[\mathbf{b}]^{(\mathbf{b})}$  descends to

$$S^{(p)} : \mathrm{CH}^*/p \rightarrow \mathrm{CH}^*/p[\mathbf{b}]^{(\mathbf{b})}.$$

Write

$$S^{(p)} := \sum_J S_J^{(p)} b^J.$$

**Definition** The homomorphism

$$S_J^{(p)} : \mathrm{CH}^*/p \rightarrow \mathrm{CH}^{*+|J|_p}/p$$

is the  $J$ th *mod p Steenrod operation*

$$(|(j_1, \dots, j_r)|_p := \sum_i j_i (p^i - 1)).$$

As for the Landweber-Novikov operations:

$$S_J^{(p)}([f : Y \rightarrow X]) = f_*(c_{J^{(p)}}^{CF}(N_f)).$$

( $J \mapsto J^{(p)}$  places the  $i$ th entry of  $J$  in position  $p^i - 1$  and fills in with 0's).

This shows these Steenrod operations agree with those of Brospan/Voevodsky.

**Divisibility results** We make the  $\mathbb{Z}$ -version of our construction:

$$\tilde{f}_{\mathbf{b}}^{(p)}(t) := \sum_n b_n t^{p^n - 1} \in \mathrm{CH}^*(k)[\mathbf{b}][t] = \mathbb{Z}[\mathbf{b}][t].$$

Twist  $\mathrm{CH}^*[\mathbf{b}]$  to  $\mathrm{CH}^*[\mathbf{b}]^{(\mathbf{b})}$ .

The universal property gives  $\tilde{S}^{(p)} : \Omega^* \rightarrow \mathrm{CH}^*[\mathbf{b}]^{(\mathbf{b})}$ .

For each index  $J$ , this gives the commutative diagram

$$\begin{array}{ccc} \Omega^* & \xrightarrow{\nu_{\mathrm{CH}}} & \mathrm{CH}^* \\ \tilde{S}_J^{(p)} \downarrow & & \downarrow S_J^{(p)} \\ \mathrm{CH}^* + |J|_p & \longrightarrow & \mathrm{CH}^* + |J|_p / p \end{array}$$

So for  $x \in \Omega^*(X)$ :

If  $\nu_{\mathrm{CH}}(x) = 0$ , then  $p$  divides  $\tilde{S}_J^{(p)}$  in  $\mathrm{CH}^* + |J|_p(X)$  for all  $J$ .

Taking  $X = \text{Spec } k$  and noting  $\text{CH}^*(k) = \text{CH}^0(k) = \mathbb{Z}$  gives

**Proposition** *Let  $Y$  be a smooth projective variety over  $k$  of dimension  $d > 0$ . Then for all  $J$  with  $|J|_p = d$ ,*

$$p \mid \tilde{S}_J^{(p)}([Y]) \in \text{CH}^0(k) = \mathbb{Z}.$$

**Example** For  $J = (0, \dots, 0, 1)$  with the 1 in the  $n$ th spot, we have  $\tilde{S}_J^{(p)} = S_{p^n-1}$ , the  $p^n - 1$ st Newton class. Thus: For all smooth projective varieties  $Y$  of dimension  $d = p^n - 1$

$$\text{deg}(S_{p^n-1}(T_Y)) \in p\mathbb{Z}.$$

## Indecomposability

**Definition**  $p : X \rightarrow \text{Spec } k$  a smooth projective variety over  $k$ .

$I(X) \subset \mathbb{Z}$  is the ideal generated by  $\{\deg_k k(x)\}$ ,  $x$  a closed point of  $X$ . Equivalently:  $I(X) \subset \text{CH}_0(k) = \mathbb{Z}$  is the image of  $p_* : \text{CH}_0(X) \rightarrow \text{CH}_0(k)$ .

**Proposition**  $Y, Z$  smooth projective varieties over  $k$  with  $\dim Z > 0$ ,  $\dim Y > 0$ . Let  $X = Y \times Z$ ,  $d = \dim X$ . Then for all  $J$  with  $|J|_p = d$ , we have

$$\tilde{S}_J^{(p)}(X) \in p \cdot I(Z) \cap (p^2).$$

**Note.**  $\tilde{S}_J^{(p)}(X) = \deg c_{J(p)}(-T_X)$   
 $\implies \tilde{S}_J^{(p)}(X) \in I(X).$

*Proof of the proposition.*

$\tilde{S}^{(p)} : \Omega^* \rightarrow \text{CH}^*[\mathbf{b}]^{(\mathbf{b})}$  is a natural transformation of O.C.T.s, hence respects products. Thus

$$\tilde{S}^{(p)}(X) = \tilde{S}^{(p)}(Y) \cdot \tilde{S}^{(p)}(Z).$$

For fixed index  $J$ :

$$\tilde{S}_J^{(p)}(X) = \sum_{\substack{J', J'' \\ J' + J'' = J}} \tilde{S}_{J'}^{(p)}(Y) \cdot \tilde{S}_{J''}^{(p)}(Z)$$

But  $p|\tilde{S}_{J'}^{(p)}(Y)$  and  $\tilde{S}_{J''}^{(p)}(Z) \in I(Z)$ .

## Consequences

**Definition**  $J$  an index and  $X$  a smooth projective variety of dimension  $d = |J|_p$ . Set

$$s_J^{(p)}(X) := \frac{1}{p} \cdot \tilde{S}_J^{(p)}([X])$$

## Proposition

(1)  $s_J^{(p)}(X)$  is an integer,  $ps_J^{(p)}(X) \in I(X)$ .

(2)  $s_J^{(p)}(Y \times Z) \cong 0 \pmod{I(Z) \cap (p)}$  if  $\dim Z > 0$ ,  $\dim Y > 0$ .

(3)  $X \mapsto s_J^{(p)}(X)$  descends to a homomorphism

$$s_J^{(p)} : \Omega^{-|J|_p}(k) \rightarrow \mathbb{Z}.$$

# Degree formulas

## The degree homomorphism

Recall that the classifying map  $\phi_{\Omega,k} : \mathbb{L}_* \rightarrow \Omega_*(k)$  is an isomorphism for any field  $k$  (of characteristic zero).

Let  $X$  be an irreducible finite type  $k$ -scheme. Restriction to the generic point  $\eta \in X$  defines

$$i_\eta^* : \Omega^*(X) \rightarrow \Omega^*(k(\eta)).$$

**Definition** The *degree map*  $\deg : \Omega^*(X) \rightarrow \Omega^*(k)$  is defined by

$$\deg := \phi_{\Omega,k} \circ \phi_{\Omega,k(\eta)}^{-1} \circ i_\eta^*.$$

For a general  $X$ , we have one degree map for each irreducible component (use  $\Omega_*(X)$  instead of  $\Omega^*(X)$ ).

## The generalized degree formula

For simplicity we give the statement for  $X$  irreducible. Let  $\tilde{X} \rightarrow X$  be a resolution of singularities.

**Theorem** Take  $x \in \Omega_*(X)$ . Then there are elements  $\alpha_i \in \Omega_*(k)$  and  $f_i : Z_i \rightarrow X$  in  $\mathcal{M}(X)$  such that

1.  $Z_i \rightarrow f_i(Z_i)$  is birational
2. No  $f_i(Z_i)$  contains a generic point of  $X$
3.  $x - \deg(x) \cdot [\tilde{X} \rightarrow X] = \sum_{i=1}^r \alpha_i \cdot [f_i : Z_i \rightarrow X]$ .

The proof is quite easy:

Essentially by definition

$$i_{\eta}^*(x - \deg(x) \cdot [\tilde{X} \rightarrow X]) = 0.$$

Thus there is an open  $j : U \rightarrow X$  such that  $j^*(x - \deg(x) \cdot [\tilde{X} \rightarrow X]) = 0$ .

Let  $W = X \setminus U$  with  $i : W \rightarrow X$ . The exact localization sequence

$$\Omega_*(W) \xrightarrow{i_*} \Omega_*(X) \xrightarrow{j^*} \Omega_*(U) \rightarrow 0$$

gives us an element  $w \in \Omega_*(W)$  with

$$i_*(w) = x - \deg(x) \cdot [\tilde{X} \rightarrow X].$$

Then use noetherian induction.

**Corollary** *Let  $X$  be in  $\mathbf{Sm}/k$ . Then*

$$\Omega^*(X) = \bigoplus_{n=0}^{\dim X} \mathbb{L}\Omega^n(X).$$

Indeed,  $[\mathrm{id}_X]$  is in  $\Omega^0(X)$  and  $[Z_i \rightarrow X]$  is in  $\Omega^n(X)$  for some  $n$ ,  $1 \leq n \leq \dim X$ .

## Degree formulas of Rost and Merkurjev

**Theorem (Degree formula)**  $f : Y \rightarrow X$  a morphism of smooth projective  $k$ -varieties of dimension  $d$ ,  $p$  a prime. Then

$$s_J^{(p)}(Y) \equiv \deg f \cdot s_J^{(p)}(X) \pmod{I(X)}.$$

*Proof.* The generalized degree formula yields (in  $\Omega^*(X)$ )

$$[f : Y \rightarrow X] = \deg f \cdot [\text{id} : X \rightarrow X] + \sum_i \alpha_i [f_i : Z_i \rightarrow X];$$

$\dim Z_i < \dim X$ ,  $k(Z_i) = k(f_i(Z_i))$ ,  $\alpha_i \in \Omega^*(k)$ .

Push forward to  $\text{Spec } k$ :  $[Y] = \deg f \cdot [X] + \sum_{ij} n_{ij} [Y_{ij} \times Z_i] \in \Omega^*(k)$ .

$(\alpha_i = \sum_j n_{ij} [Y_{ij}]) \dim Z_i < \dim X \implies \dim Y_{ij} > 0$ .

Apply  $s_J^{(p)}$  and use the indecomposability of  $s_J^{(p)}$  ( $+ I(Z_i) \subset I(X)$ ):

$$s_J^{(p)}(Y) \equiv \deg f \cdot s_J^{(p)}(X) + \sum' n_{ij} s_J^{(p)}(Y_{ij} \times Z_i) \pmod{I(X)}$$

where  $\sum'$  is over the  $i$  with  $\dim Z_i = 0$ .

But such  $Z_i$  are closed points of  $X$ , so

$$n_{ij} s_J^{(p)}(Y_{ij} \times Z_i) = n_{ij} s_J^{(p)}(Y_{ij}) \cdot \deg(Z_i) \equiv 0 \pmod{I(X)}.$$

**Examples** (1) Let  $X$  be a conic over  $k$ :  $X_{\bar{k}} \cong \mathbb{P}^1$  but  $I(X) = (2)$ . Let  $Y$  be a smooth irreducible projective curve over  $k$ , and  $f : Y \rightarrow X$  a morphism. Then  $\deg f$  and  $g(Y)$  have opposite parity:

Take  $p = 2$ ,  $J = (1)$ . Then  $s_J^{(2)}(Y) = -(1/2)c_1(T_Y) = g(Y) - 1$  and the degree formula yields

$$g(Y) - 1 \equiv \deg f \cdot (g(X) - 1) = -\deg f \pmod{2}.$$

(2) Take  $J = (0, \dots, 0, 1)$  ( $n - 1$  zeros). Then  $s_J^{(p)} = (1/p)\tilde{S}_{p^{n-1}}$ ; write  $s_{p^{n-1}}$  for  $s_J^{(p)}$ . The degree formula reads:

$$s_{p^{n-1}}(Y) = \deg f \cdot s_{p^{n-1}}(X) \pmod{I(X)}.$$

This is Rost's original degree formula.

# **Applications**

## Correspondences and rational maps

**Theorem** *Let  $X$  and  $Y$  be smooth projective varieties over  $k$ ,  $d = \dim X$ . Suppose there is an index  $J$  with  $|J|_p = d$  such that  $s_J^{(p)}(X) \not\equiv 0 \pmod{I(X)}$ .*

*Let  $\gamma \in \text{CH}_d(X \times Y)$  be an irreducible correspondence. Suppose that*

- a)  $\deg_X \gamma$  is prime to  $p$*
- b)  $\nu_p(I(Y)) \geq \nu_p(I(X))$  ( $\nu_p$  the  $p$ -adic valuation  $\nu_p(p^n) = n$ )*

*Then*

- 1)  $\dim Y \geq \dim X$*
- 2) If  $\dim Y = \dim X$  then  $s_J^{(p)}(Y) \not\equiv 0 \pmod{I(Y)}$ ,  
 $\nu_p(I(Y)) = \nu_p(I(X))$  and  $\deg_Y \gamma$  is prime to  $p$ .*

*Proof.* (Merkurjev)

(2):  $\gamma = 1 \cdot Z$ ,  $Z$  irreducible. Take a resolution of singularities of  $Z$ :  $Y \xleftarrow{f} \tilde{Z} \xrightarrow{g} X$ ,  $(\deg g, p) = 1$ .

The degree formula for  $g \implies s_J^{(p)}(\tilde{Z}) \not\equiv 0 \pmod{I(X)}$ , so

$$s_J^{(p)}(\tilde{Z}) \not\equiv 0 \pmod{I(Y)}$$

The degree formula for  $f \implies \deg f \cdot s_J^{(p)}(Y) \not\equiv 0 \pmod{I(Y)}$ .

$$ps_J^{(p)}(Y) \equiv 0 \pmod{I(Y)} \implies (\deg f, p) = 1 \text{ and} \\ s_J^{(p)}(Y) \not\equiv 0 \pmod{I(Y)}.$$

$$(\deg f, p) = (\deg g, p) = 1 \implies \nu_p(I(X)) = \nu_p(I(Y)).$$

(1): If  $\dim Y < \dim X$ , replace  $Y$  with  $Y \times \mathbb{P}^n$ ,  $n = \dim X - \dim Y$ . This leaves  $I(Y)$  unchanged, but now  $\deg f = 0$ , contrary to (2).

**Corollary (Merkurjev)** *Let  $X$  be a smooth projective  $k$ -variety,  $J$  an index with  $s_J^{(p)}(X) \not\equiv 0 \pmod{I(X)}$ . Let  $Y$  be a smooth projective  $k$ -variety such that  $\nu_p(I(Y)) \geq \nu_p(I(X))$  and  $\dim Y < \dim X$ . Then there is no rational map  $f : X \rightarrow Y$ .*

*Proof.* A rational map  $f$  gives  $\Gamma_f \in \text{CH}(X \times Y)$  of degree 1 over  $X$ , so  $\dim Y \geq \dim X$  (theorem (1)).

Take  $s_J^{(p)} = s_{p^{n-1}}$ . An easy calculation gives

**Lemma** *Let  $X$  be a degree  $p$  hypersurface in  $\mathbb{P}^{p^n}$ . Then  $s_{p^{n-1}}(X) = p^{p^n-1} - p^n - 1$ . If  $p|I(X)$ , then  $s_{p^{n-1}} \not\equiv 0 \pmod{I(X)}$ .*

**Corollary (Hoffmann)** *Let  $X_1, X_2$  be anisotropic quadrics over  $k$  with  $X_2$  isotropic over  $k(X_1)$ . Then  $\dim X_1 \geq 2^n - 1 \implies \dim X_2 \geq 2^n - 1$ .*

*Proof.*  $X_2$  is isotropic over  $k(X_1) \implies$  there is a rational map  $f : X_1 \rightarrow X_2$ .

May assume  $\dim X_1 = 2^n - 1$  (take general hyperplane sections).

$X_1, X_2$  anisotropic  $\implies I(X_1) = I(X_2) = (2)$  (Springer's theorem).

The lemma for  $p = 2 \implies s_{2^n-1}(X_1) \not\equiv 0 \pmod{I(X_1)}$ .

Merkurjev's corollary  $\implies \dim X_2 \geq 2^n - 1$ .

**Corollary (Izhboldin)** *Let  $X_1, X_2$  be anisotropic quadrics over  $k$  with  $X_2$  isotropic over  $k(X_1)$  and with  $\dim X_1 \geq \dim X_2 = 2^n - 1$ . If  $X_2$  is isotropic over  $k(X_1)$ , then  $X_1$  is isotropic over  $k(X_2)$ .*

*Proof.* May assume  $\dim X_1 = \dim X_2 = 2^n - 1$ .

$X_2$  is isotropic over  $k(X_1) \implies$  there is a rational map  
$$f : X_1 \rightarrow X_2.$$

By theorem (2), there is a correspondence  $\gamma' \in \text{CH}(X_1 \times X_2)$  of odd degree over  $X_2$ , i.e.:

$X_1$  has a point over an odd degree extension of  $k(X_2)$

By Springer's theorem,  $X_1$  is isotropic over  $k(X_2)$ .