

# NORI'S DIAGRAM CATEGORY

## - THE UNIVERSAL PROPERTY

Recall: Given a small category  $\mathcal{C} \rightsquigarrow$  diagram  $D(\mathcal{C}) =: D$  and a representation

$$T : D \longrightarrow R\text{-mod} = \text{f.g. } R\text{-modules}$$

map of directed graphs,  $R$  noeth. commutative ring (unitary)

$$\rightsquigarrow \text{End}(T) = \left\{ (e_p)_{p \in D} \in \prod_{p \in D} \text{End}_R(T_p) \mid \begin{array}{ccc} T_p & \xrightarrow{T_m} & T_q \\ e_p \downarrow & \circ & \downarrow e_q \\ T_p & \xrightarrow{T_m} & T_q \end{array} \quad \forall p, q \in D, m \in D(p, q) \right.$$

Then we defined the diagram category of  $D$  w.r.t.  $T$  by

$$\mathcal{C}(D, T) = \underset{F}{2\text{-colim}} \underbrace{\text{End}(T|_F)\text{-mod}}_{\text{f.g. End}(T|_F)\text{-modules}} \quad (= \text{End}(T)\text{-mod if } D \text{ is finite})$$

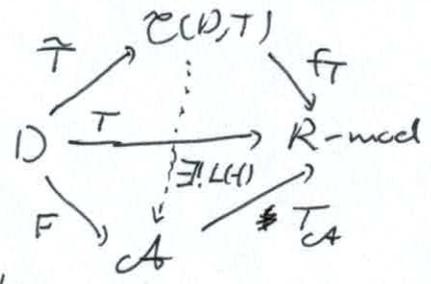
**(7.1.13) Theorem 1:** Let  $D$  be a diagram and  $T : D \rightarrow R\text{-mod}$  a rep. of  $D$ . Then there exists an  $R$ -linear abelian category  $\mathcal{C}(D, T)$  together with a representation

$$\tilde{T} : D \longrightarrow \mathcal{C}(D, T)$$

and a faithful exact  $R$ -lin. functor  $f_T$  such that:

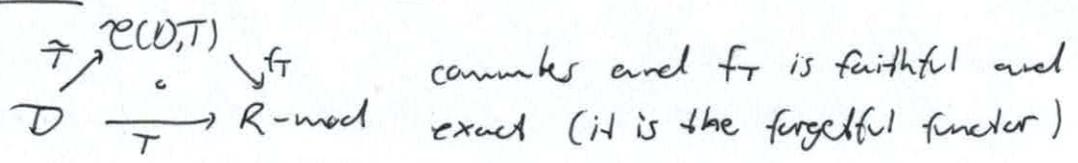
1.  $T$  factors as  $D \xrightarrow{\tilde{T}} \mathcal{C}(D, T) \xrightarrow{f_T} R\text{-mod}$
2.  $\tilde{T}$  satisfies the following univ. prop.

Given another  $R$ -linear, abelian cat.  $\mathcal{A}$ ,  $R$ -lin. faithful exact functor  $T_{\mathcal{A}} : \mathcal{A} \rightarrow R\text{-mod}$  and a rep.  $F : D \rightarrow \mathcal{A}$  s.t.  $T = T_{\mathcal{A}} \circ F$ , then there exist a faithful exact functor  $L(F)$  - unique / unique iso. of add. functors - s.t.



commute.

Next time: Saw that 1. holds for  $\mathcal{C}(D, T)$ , i.e.



The main ingredient to prove 2. is the following:

(7.1.20) Theorem 2: For  $R$  noeth. and  $\mathcal{A}$  abelian  $R$ -lin. category, let  $T: \mathcal{A} \rightarrow R\text{-mod}$  be exact,  $R$ -linear, and faithful, and

$$\mathcal{A} \xrightarrow{\tilde{T}} \mathcal{C}(\mathcal{A}, T) \xrightarrow{f_T} R\text{-mod}$$

the factorisation via its diagram category. Then  $\tilde{T}$  is an equivalence of categories.

§ 1:

- Fix:
- $R$  noeth commutative unital
  - $E$  unital  $R$ -alg, f.g. as  $R$ -module (not nec. comm.)
  - $E$ -mod = cat. of f.g. left  $E$ -modules
  - $\mathcal{A}$   $R$ -lin. abelian category.

Def: Let  $p \in \mathcal{A}$ ,  $f: E^{op} \rightarrow \text{End}_{\mathcal{A}}(p)$  morph. of  $R$ -alg. We call  $p$  a right  $E$ -module in  $\mathcal{A}$ .

\* Assume Hom-modules in  $\mathcal{A}$  are f.g.

Consider the functor  $\text{Hom}_{\mathcal{A}}(p, -) : \mathcal{A} \rightarrow R\text{-mod}$

Then for  $p \in \mathcal{A}$  a right  $E$ -module, can view this as a functor

$$\text{Hom}_{\mathcal{A}}(p, -) : \mathcal{A} \rightarrow E\text{-mod}$$

$$q \mapsto \underset{\varphi}{\text{Hom}}(p, q) \quad e \cdot \varphi = \varphi \circ f(e) : p \xrightarrow{f(e)} p \xrightarrow{\varphi} q$$

7.3.5) Proposition 1: For  $p \in \mathcal{A}$  a right  $E$ -module,  $\text{Hom}_{\mathcal{A}}(p, -)$  has an  $R$ -linear left adjoint

$$p \otimes_E - : E\text{-mod} \rightarrow \mathcal{A}$$

which is right exact s.t.

- (i)  $p \otimes_E E = p$
- (ii)  $p \otimes_E - : \text{End}_E(E) \rightarrow \text{End}_{\mathcal{A}}(p) \quad (\text{End}_E(E) \cong E^{op})$   
 $(a: E \rightarrow E) \mapsto (f(a): p \rightarrow p)$

Proof: Describe  $p \otimes_E M$  for more and more general  $M \in E\text{-mod}$ .  
 For  $M = E$  have  $\text{Hom}_{\mathcal{A}}(p, q) = \text{Hom}_E(E, \text{Hom}_{\mathcal{A}}(p, q))$   
 Then right exactness follows from univ. prop of adjoint func

So  $E \mapsto p$ .  
 For  $M = E^n$ ,  $E^n \mapsto \bigoplus_{i=1}^n p$  and  
 $(E^n \xrightarrow{(\alpha_{ij})} E^m) \mapsto (\bigoplus_{i=1}^n p \xrightarrow{f(\alpha_{ij})} \bigoplus_{i=1}^m p)$

For general  $M$ , take a finite presentation

$$E^m \rightarrow E^n \rightarrow M \rightarrow 0$$

and let  $p \otimes_E M := \text{coker}(\bigoplus_{i=1}^n p \xrightarrow{f(\alpha_{ij})} \bigoplus_{i=1}^m p)$

- check that this satisfies adjointness
- check independence of resolution

↳ follows from univ. prop. of adjoint functors.

( $R$  noeth. and  $M$  f.g.  $E\text{-mod} \Rightarrow M$  fin. pres)

Corollary 1:  $T: A \rightarrow E\text{-mod}$  exact, faithful,  $p \in A$  right  $E$ -module

then  $E\text{-op} \xrightarrow{f} \text{End}_E(p) \xrightarrow{Tp} \text{End}_E(Tp)$   
 induces a right action on  $Tp$  and

$$\begin{array}{ccc} E\text{-mod} & \longrightarrow & A & \longrightarrow & E\text{-mod} \\ M & \longmapsto & p \otimes_E M & \longmapsto & T(p \otimes_E M) \end{array}$$

is the usual tensor functor of  $E$ -modules.

Proof: True on free modules, then use right exactness of  $T(p \otimes_E -)$  and exactness of  $T$  for general  $M \in E\text{-mod}$ .

Proposition 2: Let  $p \in A$ . Then

$$\text{Hom}_A(-, p) : A^0 \rightarrow R\text{-mod}$$

has a left adjoint

$$\text{Hom}_R(-, p) : R\text{-mod} \rightarrow A^0 \quad (\text{i.e.})$$

which is left exact and  $\text{Hom}_R(R, p) = p$

$$\begin{array}{c} \text{Hom}_A(q, \text{Hom}_R(M, p)) \\ \cong \\ \text{Hom}_R(M, \text{Hom}_A(q, p)) \\ \forall M \in E\text{-mod}, q \in A. \end{array}$$

- If  $T: A \rightarrow R\text{-mod}$  is exact,  $R$ -lin. then

$$\begin{array}{ccc} R\text{-mod} & \xrightarrow{\text{Hom}(-, p)} & A & \xrightarrow{T} & R\text{-mod} \\ M & \longmapsto & \text{Hom}_R(M, p) & \longmapsto & \text{Hom}_R(M, Tp) \end{array}$$

is the usual  $\text{Hom}(-, Tp)$  functor in  $R\text{-mod}$

Proof: Same as Prop. 1.

§ 2 :

Setting :

$\mathcal{A}$   $R$ -lin. abelian category,  $T : \mathcal{A} \rightarrow R\text{-mod}$  faithful and exact. (existence of  $T$  implies Hom-modules in  $\mathcal{A}$  are f.g.)

Def:  $S \subset \mathcal{A}$  set of objects

$\langle S \rangle$  = smallest full abelian subcat of  $\mathcal{A}$  cont.  $S$  which is closed under kernels and cokernels. *free*

$\langle S \rangle^{\text{psab}}$  = smallest full pseudo-abelian subcategory of  $\mathcal{A}$ , <sup>gen. by  $S$</sup>  i.e. it contains  $S$  and is closed under direct sums and direct summands

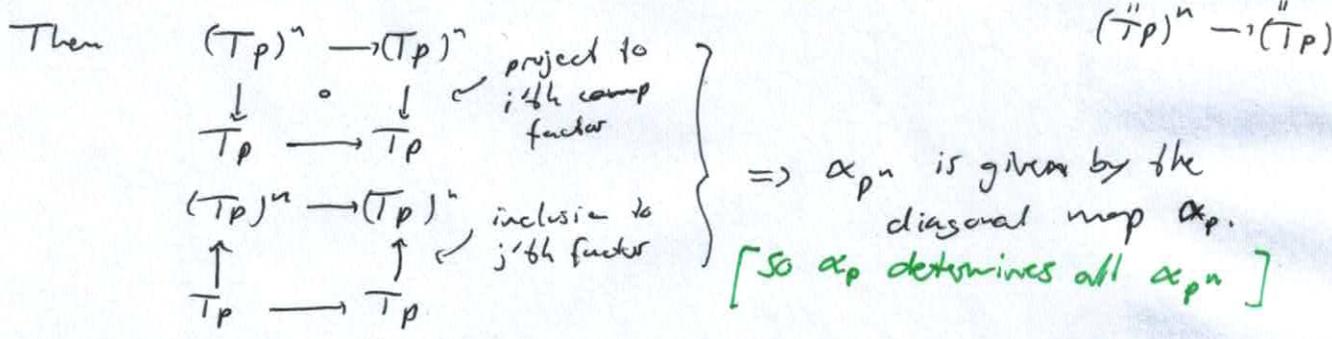
Ex 1: if  $p \in \mathcal{A}$  then  $\langle p \rangle^{\text{psab}} = \{ q \in \mathcal{A} \mid p^n = q' \oplus q \text{ for some } n \in \mathbb{Z} \}$

Lemma 1: Let  $E(p) = \text{End}(T|_{\langle p \rangle})$ . Then

1.  $E(p) = \text{End}(T|_{\langle p \rangle^{\text{psab}}})$

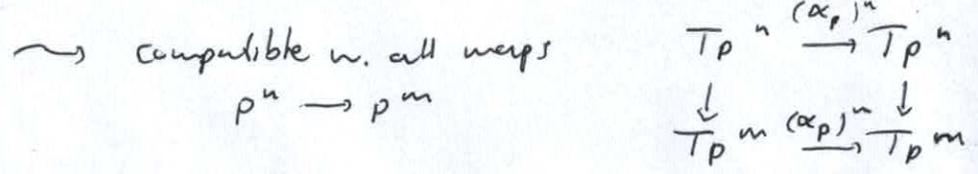
(2. If  $p$  is projective and every  $q \in \langle p \rangle$  is a quotient of  $p^n$  for some  $n$ , then  $E(p) = \text{End}(T|_{\langle p \rangle})$ .)

Proof: Let  $(\alpha_q)_q \in \text{End}(T|_{\langle p \rangle^{\text{psab}}})$ , consider the comp  $\alpha_{p^n} : T p^n \rightarrow T p^n$



If  $p^n = q' \oplus q$  then  $\alpha_{p^n}|_q = \alpha_q$  by compatibility w. projection and inclusion

Conversely,  $\alpha_p \in E(p)$  extends to  $(\alpha_p)^n : T p^n \rightarrow T p^n$  diagonally



Restricting  $p^n$  to a direct summand  $q$  respects  $q$  because

$p^n \rightarrow q \rightarrow p^n$  is an endomorphism. ■

Proof of Theorem 2 (Sketch):

Lemma 1 implies that  $\mathcal{C}(\langle p \rangle^{psab}, T) = 2\text{-colim}_{F \in \langle p \rangle^{psab}} \text{End}(T|_F)\text{-mod}$   
 $= \text{End}(p)\text{-mod}$

(All  $(\alpha_q)_q \in \text{End}(T|_{\langle p \rangle^{psab}})$  is determined by some  $\alpha_p \in E(p)$ ,  
 in particular all  $(\alpha_q)_q \in \text{End}(T|_F)$ ,  $F$  finite)

Moreover,  $\tilde{T}$  faithful (since  $T = f_T \circ \tilde{T}$ ,  $T, f_T$  faithful)

Thus

$$\begin{aligned} \mathcal{C}(A, T) &= 2\text{-colim}_{F \in \text{Ob}(A) \text{ fin.}} \text{End}(T|_F)\text{-mod} (= 2\text{-colim}_{p \in A} 2\text{-colim}_{F \in \langle p \rangle^{psab} \text{ fin.}} \mathcal{C}(F, T|_F)) \\ &= 2\text{-colim}_{p \in A} \mathcal{C}(\langle p \rangle^{psab}, T) \\ &\stackrel{\text{Lemma 1}}{=} 2\text{-colim}_{p \in A} E(p)\text{-mod} \end{aligned}$$

because  $\langle F \rangle^{psab} = \left( \bigoplus_{p \in F} p \right)^{psab}$

cofinality

We now wish to define a functor  $E(p)\text{-mod} \rightarrow A$  which will be given by  $X(p) \otimes_{E(p)} (-)$  for some  $X(p) \in A$  w. a structure of right  $E(p)$ -module

Constructing  $X(p)$ :

Have our functor  $\text{Hom}_R(-, p) : R\text{-mod} \rightarrow A$   
 $T_p \longmapsto \text{Hom}_R(T_p, p)$

composing w.  $T$

$$\text{Hom}_R(T_p, T_p)$$

$T_p \otimes T_p \rightarrow p, p \in \text{End}_R(T)$ -module in  $A$ .  
 Then this is a right  $E(p)$ -module

We wish to define  $X(p)$  as a subobject of  $\text{Hom}_R(T_p, p)$ , with  $E(p)$ -module structure induced by  $\text{Hom}_R(T_p, p)$  ( $E(p) \subseteq \text{End}_R(T_p)$ )

Let  $\alpha_1, \dots, \alpha_n$  be a gen. family for  $\text{End}_R(p) \subseteq \text{End}_R(T_p)$

write

$$E(p) = \ker(\text{Hom}(T_p, T_p) \rightarrow \bigoplus_{i=1}^n \text{Hom}(T_p, T_p))$$

$$u \longmapsto u \circ \alpha_i; -\alpha_i \circ u$$

This is fig. since  $R$  noeth. +  $T$  faithful

Then define

$$X(p) = \ker(\text{Hom}_R(T_p, p) \rightarrow \bigoplus_{i=1}^n \text{Hom}_R(T_p, p))$$

$$u \longmapsto u \circ \alpha_i; -\alpha_i \circ u$$

$$\begin{array}{ccc} \text{Hom}(T_p, p) & \xrightarrow{T} & \text{End}(T_p) \\ \cup & & \cup \\ X(p) & \xrightarrow{} & E(p) \end{array}$$

$\Rightarrow E(p)$  is a preimage of  $E(p)$  under  $T$  in  $A$ .

$E(p)$ -mod structure on  $\text{Hom}_R(T_p, p)$  restricts to one on  $X(p)$  and

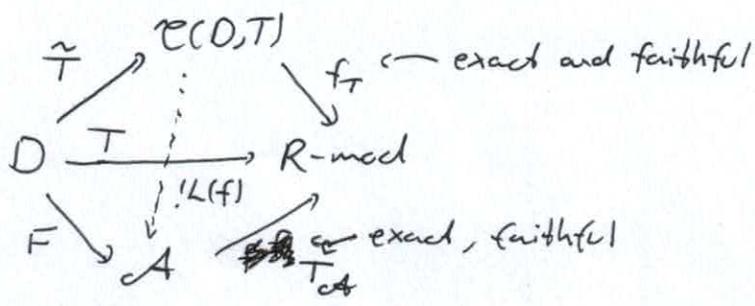
$$\tilde{T}(X(p)) = E(p) \in E(p)\text{-module} \iff \mathcal{C}(A, T)$$

Claim:  $X(p) \otimes_{E(p)} \tilde{T}p \rightarrow p$  iso. and  $X(p) \otimes_{E(p)} -$  comp. w. 2-colim.

$$\Rightarrow A \xrightarrow{\tilde{T}} \mathcal{C}(A, T) \text{ comp. to id} \stackrel{\tilde{T} \text{ faithful}}{\Rightarrow} \text{eq. of cat.}$$

§ 3:

Setting:



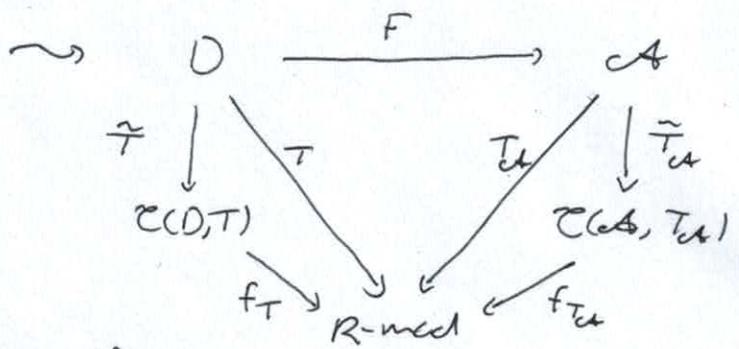
Existence:

Regard  $A$  as a diagram and get a rep.

$$T_{ct}: A \rightarrow R\text{-mod.}$$

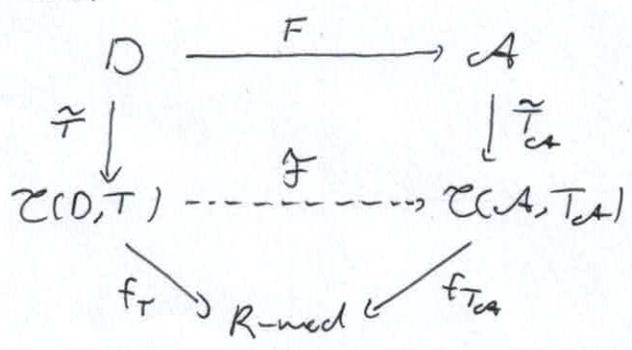
and factor it as

$$A \xrightarrow{\tilde{T}_{ct}} C(A, T_{ct}) \xrightarrow{f_{T_{ct}}} R\text{-mod}$$

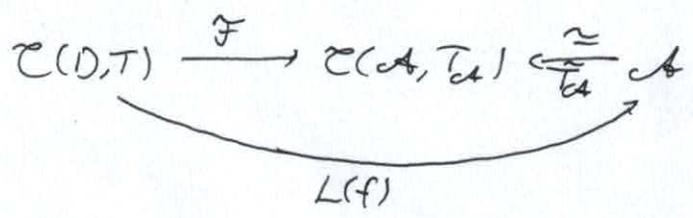


Lemma (7.2.6)

From last time



$F$  is  $R$ -lin., faithful, exact.



$L(f)$  is  $R$ -lin., faithful and exact because  $F$  and  $\tilde{T}_{ct}^{-1}$  are.

Uniqueness:

Assume  $L'$  is another such functor. Let

$\mathcal{C}' \subset \mathcal{C}(D, T)$  be the subcat. on which  $L' = L(f)$ .

Claim:  $\mathcal{C} \hookrightarrow \mathcal{C}(D, T)$  is an eq. of categories (the inclusion is an eq. of cat.)

- wlog assume  $D$  finite.
- $T_A : A \rightarrow R\text{-mod}$  faithful  $\Rightarrow \mathcal{C}$  full.
- $\tilde{T}_p \in \mathcal{C}'$  for all  $p \in D$ .
- $L', L(f)$  additive  $\Rightarrow$  they agree on fin. direct sums of objects
- $L', L(f)$  exact  $\Rightarrow$  agree on kernels and cokernels

$\Rightarrow \mathcal{C}'$  is the full abelian subcat of  $\mathcal{C}(D, T)$  gen. by  $\tilde{T}(D)$

Prop. 7.3.24

$\Rightarrow \mathcal{C}' \cong \mathcal{C}(D, T)$ .

from last time

